

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

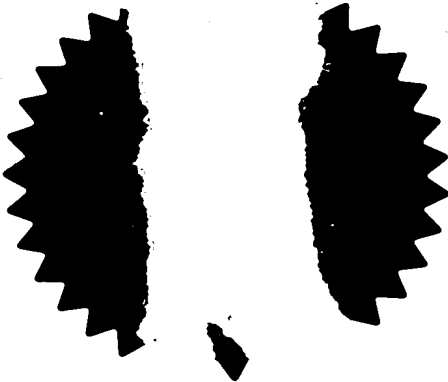


I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein together with the Statement of inventorship and of right to grant of a Patent (Form 7/77), which was subsequently filed.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated 26 November 2003

THIS PAGE BLANK (USPTO)

OLIFF & BERRIDGE, PLC

P.O. BOX 19928

ALEXANDRIA, VA 22320

(703) 836-6400

APPLICANT: Anthony K DUNHILL

APPLICATION NO.: New U.S. Application

FILED: January 20, 2004

FOR: ULTRASONIC TRANSDUCER STRUCTURES

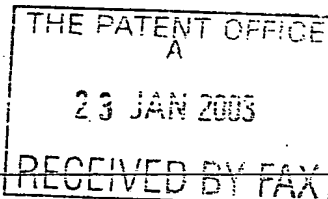
ATTORNEY DOCKET NO.: 118286

Patents Form 7/77

Patents Act 1977
(Rule 15)



Statement of inventorship and of right to grant of a patent



The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference PAT/GPW/3074

2. Patent application num
(if you know it)

0301454.5

23 JAN 2003

3. Full name of the or of each applicant ROLLS-ROYCE plc

4. Title of the invention

ULTRASONIC TRANSDUCER STRUCTURES

5. State how the applicant(s) derived the right
from the inventor(s) to be granted a patent

BY VIRTUE OF THE INVENTORS' TERMS AND CONDITIONS OF
EMPLOYMENT

6. How many, if any, additional Patents Forms
7/77 are attached to this form? NO
(see note (c))

7.

I/We believe that the person(s) named over the page (and on
any extra copies of this form) is/are the inventor(s) of the invention
which the above patent application relates to.

Signature

Date

V J BIRD

23 JANUARY 2003

8. Name and daytime telephone number of
person to contact in the United Kingdom

PAUL WALKER 0117 979 7416
paul.walker@rolls-royce.com

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there are more than three inventors, please write the names and addresses of the other inventors on the back of another Patents Form 7/77 and attach it to this form.
- When an application does not declare any priority, or declares priority from an earlier UK application, you must provide enough copies of this form so that the Patent Office can send one to each inventor who is not an applicant.
- Once you have filled in the form you must remember to sign and date it.

Patents Form 7/77

Patents Form 7/77

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

ANTHONY KEITH DUNHILL
4 FLORENCE PARK
ALMONDSBURY
BRISTOL
BS32 4HE

08549511001

Patents ADP number (if you know it):

Patents ADP number (if you know it):

Patents ADP number (if you know it):

Reminder

Have you signed the form?

Patents Form 7/77

Patents Form 1/77

Patents Act 1977
(Rule 16)



23JAN03 E779101-1 001097

THE PATENT OFFICE 00-0301454.5

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

23 JAN 2003

RECEIVED BY FAX

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference PAT/GPW/3074

2. Patent application number
(The Patent Office will fill in this part)

0301454.5

23 JAN 2003

3. Full name, address and postcode of the or of each applicant (underline all surnames)

ROLLS-ROYCE plc
65 BUCKINGHAM GATE
LONDON
SW1E 6AT
GREAT BRITAIN

Patents ADP number (*if you know it*)

If the applicant is a corporate body, give the country/state of its incorporation

GREAT BRITAIN

3970002

4. Title of the invention ULTRASONIC TRANSDUCER STRUCTURES

5. Name of your agent (*if you have one*)

"Address for service" in the United Kingdom to which all correspondence should be sent (*including the postcode*)

MR V J BIRD
INTELLECTUAL PROPERTY DEPT WH 58
ROLLS-ROYCE plc
PO BOX 3
FILTON
BRISTOL
BS34 7QE

Patents ADP number (*if you know it*)

65789006

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (*if you know it*) the or each application number

Country

Priority application number
(*if you know it*)

Date of filing
(*day / month / year*)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(*day / month / year*)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (*Answer 'Yes' if:*

YES

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

Patents Form 1/77

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form.
Do not count copies of the same document

Continuation sheets of this form 0

Description 7

Claim(s) 3

Abstract 1

Drawing(s) 2

10. If you are also filing any of the following, state how many against each item.

Priority documents 0

Translations of priority documents 0

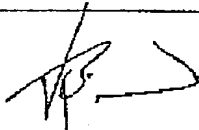
Statement of inventorship and right to grant of a patent (Patents Form 7/77) YES

Request for preliminary examination and search (Patents Form 9/77) YES

Request for substantive examination (Patents Form 10/77) NO

Any other documents (please specify) NO

11.



I/We request the grant of a patent on the basis of this application.

Signature

Date

V J BIRD

23 JANUARY 2003

12. Name and daytime telephone number of person to contact in the United Kingdom

PAUL WALKER 0117 979 7416
paul.walker@rolls-royce.com

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Patents Form 1/77

- 1 -

DUPLICATE

ULTRASONIC TRANSDUCER STRUCTURES

The present invention relates to ultrasonic transducer structures, and methods of monitoring the performance of such structures.

Ultrasonic transducers have long been used in ultrasonic probes for inspection or non-destructive testing and evaluation (NDT/E) of manufactured components. Conventional ultrasonic transducers used for such inspection of manufactured parts have generally contained a single ultrasonic transducer element which generates and detects ultrasonic pulses. Most commonly ultrasonic transducer elements are piezo-electric but other types of element are conceivable, such as magneto-strictive elements.

Ultrasonic technology, including ultrasonic array technology, has also been used for many years for medical applications, for examination of the human or animal body. Ultrasonic transducer arrays use a number of, for example 128, small ultrasonic transducer elements to generate ultrasonic pulses. Typically, such an array comprises a linear or planar array of piezo-electric ultrasonic transmit/receive (TR) transducer elements bonded to a damping material. In use, each element transmits a pulse of ultrasound and then listens for a return. Ultrasonic transducer arrays can offer various advantages. For example they can provide so-called phased arrays which in effect generate shaped ultrasonic pulses which can be steered or focused. In phased array use the elements are carefully synchronised to produce the focused beam and to sweep it through the subject of investigation. For phased arrays to operate correctly the elements are pulsed at slightly differing times to create the overall beam shape required. The pulse timings are called the Focal Law and are specific to individual transducers and beam patterns.

In recent years ultrasonic array structures have been manufactured which provide increased sensitivity, for example achieving the sensitivity needed for the inspection of rotating part components, such as aeroengine critical rotating parts.

- 2 -

Conventionally, in aeroengine production, inspection is carried out on the billet and forgings of all safety critical rotating parts. This inspection is, however, time consuming and costly.

The advance of ultrasonic technology, in particular the advances in array sensitivity, offer the potential for eg phased array steering techniques to be put to use in a way which could reduce inspection time while still maintaining the inspection quality.

However, to achieve guaranteed sensitivity, for example as needed for inspection of safety critical parts but also for other inspection or examination purposes, it is crucial that each transducer element being used is pulsed at the correct time and at the correct amplitude. Thus, consistent generation of pulses is crucial, particularly in the case of inspections or examinations which rely on monitoring signal amplitudes rather than visual image analysis. Hence the performance of each transducer element is critical. For example, a performance shortfall may occur if the bond between a TR transducer element and the damping material degrades, with adverse effect on sensitivity.

However, transducer performance can to date only be indirectly checked, by periodic inspection or examination of a "control" object, wherein the information or image produced is compared with an expected picture or reference information. Such a method precludes 'on-the-job' performance verification whilst the transducer structure is in use for inspection or examination, limiting it to the beginning and end of a shift at best (ie before or after inspection operations). Current ultrasonic systems cannot more directly detect if a transducer element of a transducer structure, particularly of an array transducer structure, is not operating correctly, and without this knowledge the quality of inspection or examinations cannot be guaranteed with a high level of confidence.

According to the present invention there is provided an ultrasonic transducer structure, comprising

a first ultrasonic transducer element, arranged and operable for transmitting an ultrasonic signal from a front face of the structure,

- 3 -

damping material at the back of the first ultrasonic transducer element, and

a second ultrasonic transducer element in or on the damping material behind the first ultrasonic transducer element, arranged and operable to receive sound energy propagated through the damping material when the first ultrasonic transducer element is operated to transmit an ultrasonic signal from the front face.

According to the present invention there is also provided a method of monitoring the performance of an ultrasonic transducer structure, as set out in the paragraph above, used for ultrasonic inspection of an object, wherein the or each second transducer element is operated to detect sound energy propagated through the damping medium from the or the corresponding first transducer element when that element is operated to transmit an ultrasonic signal from the front face of the structure, and signals provided by the or each second transducer element in correspondence to the detected sound energy are analysed, whereby the performance of the or each first transducer element is individually monitored.

Through the provision of the second ultrasonic transducer element behind the first ultrasonic element, the present invention provides for the monitoring of the first (ultrasonic transmitter) transducer element of the ultrasonic transducer structure on the basis of sound energy propagated backwards through the damping material, whereby it can be determined if the first transducer element is operating correctly, so that the quality of an inspection or examination carried out using the structure can be guaranteed to a higher level of confidence.

That the second or monitoring ultrasonic transducer element is incorporated in the transducer structure permits more direct and reliable monitoring of performance than the inspection of "control" objects.

One embodiment of the present invention readily provides for continuous assessment of the performance of each transmitter transducer element in an array. With this

- 4 -

embodiment of the invention, the many individual pulses generated by the individual transmitter transducer elements of the array during inspection or examination of an object are monitored by individual monitoring elements corresponding respectively to the transmitter transducer elements, to ensure that the pulse amplitudes and timings of each transmitter transducer element are correct, thus ensuring the inspection is being carried out to the required sensitivity and direction. This monitoring, by monitoring elements behind the transmitter transducer elements, can be effected during inspection without adverse effect on the inspection being carried out.

A further embodiment of the present invention, using a single monitoring element behind the transmitter transducer elements of an array, may be used not for continuous assessment of performance as inspections or examinations are carried out, but for regular testing of all transmitter transducer elements of the array, without recourse to indirect or deductive testing using the "control" object as mentioned above. This embodiment may offer cost savings.

Reference will be made, by way of example, to the accompanying drawings, which show:-

Fig 1 a schematic illustration of an ultrasonic array transducer structure in accordance with a first embodiment of the present invention; and

Fig 2 a schematic illustration of an ultrasonic array transducer structure in accordance with a second embodiment of the present invention.

Figure 1 illustrates an ultrasonic array transducer structure in accordance with a first embodiment of the present invention, comprising an array of first, transmit/receive (TR) transducer elements 1 at a front face of the structure. The first transducer elements 1 are set in damping material 2. The damping material 2 is used to reduce the ringing of an element after it has been pulsed, in phased array operation, to emit an ultrasonic signal (indicated by A in the Figures), which improves the resolution of the ultrasonic 'A' scan display. At the back of each first transducer element 1 there is included in the

- 5 -

damping material 2 a respective second, receive transducer element 3. These second transducer elements may be passive piezo-electric elements made of film (PVDF - Polyvinylidene Fluoride). Although sound energy propagating through the damping material 2 is rapidly attenuated, it has been found that by embedding the second transducer element 3 into the damping material sound energy of a sound pulse (indicated by B in the Figures) travelling to it from the back face of the corresponding first transducer element 1 can be received and that the size or amplitude and time delay (arrival time) of this pulse can be monitored and from this information the "health" of the first transducer element 1 concerned can be monitored.

For example, signals provided by the embedded second transducer elements 3 can be automatically compared to their theoretical values and an assessment made as to the validity of the pulse emitted by the corresponding first transducer element 1. This can be done for each pulse of each first transducer element 1, continuously as the transducer array is operating. Thus, when the transducer structure is used for ultrasonic inspection of examination of an object, array performance can be monitored constantly, to provide a high level of confidence in the quality of the inspections or examinations made.

An example of this ultrasonic transducer structure has been built and it has been confirmed that signals from the back faces of the first transducer elements 1 are readily detectable by the second transducer elements 3. It has also been found that the second transducer elements or monitoring elements 3 do not give rise to any unwanted signals.

Further, it has been found that "cross-talk" at the second transducer elements 3 (ie due to detection of signals from the back faces of different first transducer elements 1) can be resolved on the basis of the different signal timings and/or amplitudes and/or patterns, so that performance monitoring is not hindered.

Preferably, detection of signals from the back faces of the first transducer elements 1 is carried out at lower frequencies (eg 2 to 3 MHz) as higher frequencies are attenuated more rapidly in the damping material.

- 6 -

In a second embodiment of the present invention, an alternative configuration is used in which a single second, receive transducer element or monitoring element 3 is provided, for example as one large PVDF sheet, embedded in the damping material 2.

With this configuration, each first transducer element 1 of the array may be pulsed in turn during a testing routine. Again, the size or amplitude and time delay (arrival time) of the pulse received by the monitoring element 3, from the back face of the first transducer element concerned, can be monitored and so the "health" of the first transducer element 1 concerned can be monitored. The testing routine may be carried out at intervals, when object inspection or examination operations are temporarily suspended, to monitor the condition and performance of the array.

Alternatively, it may be possible to employ the single monitoring element 3 to monitor the first transducers 1 during inspection or examination operations, by demultiplexing and analysing the signals delivered by the monitoring element 3 as a result of the pulses received from the back faces of the first transducer elements 1 as they are pulsed to provide the required (phased) array operation. This would require increased processing power for analysing the signals from the monitoring element 3.

The configuration using a single monitoring element may offer cost advantages over multiple monitoring element structures.

Monitoring of the performance of each first transducer element 1 during a testing routine may of course also be applied with transducer structures such as that of Figure 1, having an array of monitoring elements 3, in addition to or alternatively to monitoring during object inspection.

In the illustrated embodiments, the monitoring element or elements 3 are shown completely embedded in the damping material 2. Alternatively, however, the monitoring element or elements 3 may be embedded in back face of the damping material 2, in the same manner as shown for the first transducer elements at the front face of the structure, or may be surface mounted on the back of the damping material 2, for example in a

- 7 -

backing element 4 illustrated in Figures 1 and 2.

in the embodiments of the invention described above the ultrasonic transducer elements 1 are transmit/receive elements operable to transmit ultrasonic pulses into the object to be inspected or examined and to receive resulting ultrasonic reflections therefrom. It will be understood that the present invention can also be applied in cases in which the ultrasonic transducer elements 1 are transmit-only elements. In such cases complementary receiver transducer elements may be provided separately from the transmit-only elements, for example on an opposing side of the object to be tested, opposite to the transmit-only elements, in the case of inspection of the object based on through-transmission of signals from the transmit-only elements.

Although the embodiments of the invention described above are concerned with phased array operation, it will be immediately apparent that the present invention can also be applied to other modes of operation and ultrasonic array structures, for example in place of a linear array structure, there may be used curved array, annular array or two-dimensional array structures, or arrays which are shaped to conform to the surface of the object, such as a rotating part, which is to be subjected to investigation or examination.

- 8 -

CLAIMS

- 1 An ultrasonic transducer structure, comprising

a first ultrasonic transducer element (1), arranged and operable for transmitting an ultrasonic signal from a front face of the structure,

damping material (2) at the back of the first ultrasonic transducer element (1), and

a second ultrasonic transducer element (3) in or on the damping material behind the first ultrasonic transducer element (1), arranged and operable to receive sound energy propagated through the damping material (2) when the first ultrasonic transducer element (1) is operated to transmit an ultrasonic signal from the front face.
- 2 An ultrasonic transducer structure as claimed in claim 1, comprising

a plurality of first ultrasonic transducer elements (1), arrayed and operable for transmitting ultrasonic signals from a front face of the structure,

damping material (2) at the back of each first ultrasonic transducer element (1), and

a plurality of second ultrasonic transducer elements (3) in or on the damping material, arranged behind respective corresponding first ultrasonic transducer elements (1), each operable to receive sound energy propagated through the damping material (2) when the corresponding first ultrasonic transducer element (1) is operated to transmit an ultrasonic signal from the front face.
- 3 An ultrasonic transducer structure as claimed in claim 1, comprising

- 9 -

a plurality of first ultrasonic transducer elements (1), arrayed and operable for transmitting ultrasonic signals from a front face of the structure,

damping material (2) at the back of each first ultrasonic transducer element (1),

a second ultrasonic transducer element (3) arranged in or on the damping material behind the array of first ultrasonic transducer elements (1), operable to receive sound energy propagated through the damping material (2) when any first ultrasonic transducer element (1) of the array is operated to transmit an ultrasonic signal from the front face.

- 4 An ultrasonic transducer structure as claimed in claim 1, 2 or 3, wherein the or each first ultrasonic transducer element (3) is a transmit/receive transducer element.
- 5 An ultrasonic transducer structure as claimed in claim 1, 2, 3 or 4, wherein the or each second ultrasonic transducer element (3) is polyvinylidene fluoride film transducer element.
- 6 An ultrasonic transducer structure as claimed in any preceding claim, wherein the or each second transducer element (3) is adapted to detect sound energy at signal frequencies in a lower frequency range, for example at frequencies in the range 2 to 3 MHz.
- 7 A method of monitoring the performance of an ultrasonic transducer structure, as claimed in any preceding claim, used for ultrasonic inspection of an object, wherein the or each second transducer element (3) is operated to detect sound energy propagated through the damping medium (2) from the or the corresponding first transducer element (1) when that element is operated to transmit an ultrasonic signal from the front face of the structure, and signals provided by the or each second transducer element (2) in correspondence to the detected sound energy are analysed, whereby the performance of the or each first

- 10 -

transducer element (1) is individually monitored.

- 8 A method as claimed in claim 7, wherein the or each second transducer element (3) is operated to detect sound energy propagated through the damping medium (2) from the or the corresponding first transducer element (1) when that element is operated to transmit an ultrasonic signal from the front face of the structure in the course of inspection of an object, whereby the performance of the or each first transducer element (1) is individually monitored during inspection of the object.
- 9 A method as claimed in claim 7, wherein the or each second transducer element (3) is operated to detect sound energy propagated through the damping medium (2) from the or the corresponding first transducer element (1) when that element is individually operated to transmit an ultrasonic signal from the front face of the structure in the course of testing routine, when object inspection is not being carried out.
- 10 An ultrasonic transducer structure, or a method of monitoring such a transducer structure, substantially as hereinbefore described with reference to Figure 1 or Figure 2 of the accompanying drawings.

- 11 -

ABSTRACT**ULTRASONIC TRANSDUCER STRUCTURES**

The present invention provides an ultrasonic transducer structure, comprising a first ultrasonic transducer element (1), arranged and operable for transmitting an ultrasonic signal from a front face of the structure, damping material (2) at the back of the first ultrasonic transducer element (1), and a second ultrasonic transducer element (3) in or on the damping material behind the first ultrasonic transducer element (1), arranged and operable to receive sound energy propagated through the damping material (2) when the first ultrasonic transducer element (1) is operated to transmit an ultrasonic signal from the front face. Although sound energy propagating through the damping material (2) is rapidly attenuated, sound energy of a sound pulse travelling to the second ultrasonic transducer (3) from the back face of the first transducer element 1 can be received and the size or amplitude and time delay (arrival time) of this pulse can be monitored and from this information the performance of the first transducer element (1) concerned can be monitored.

THIS PAGE BLANK (USPTO)

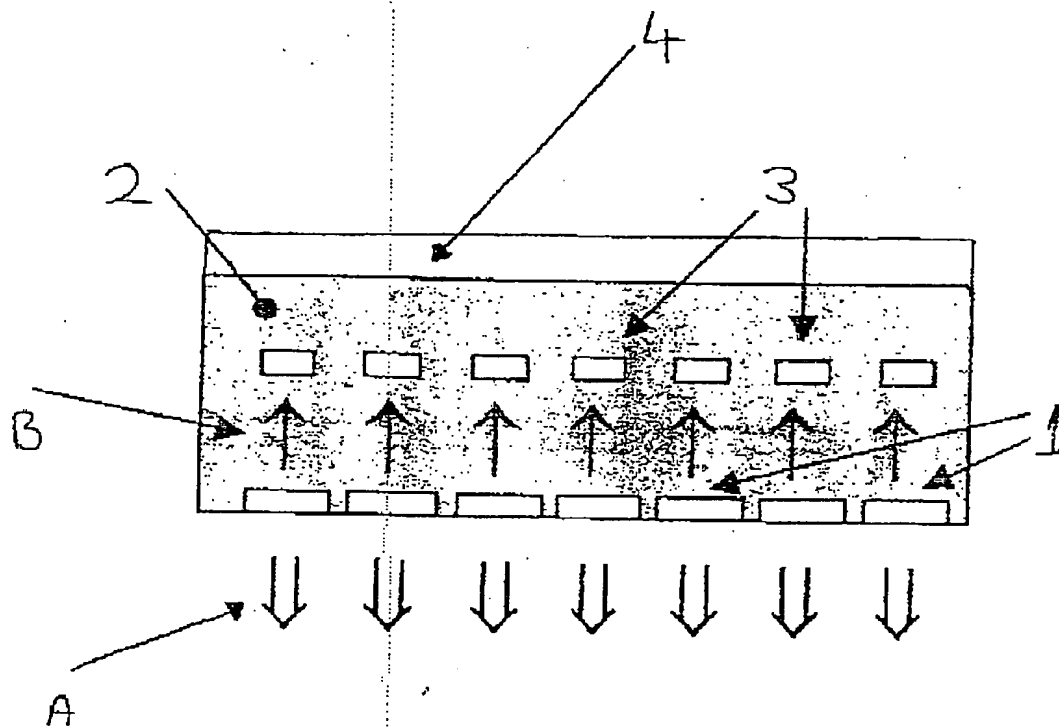


FIG. 1

THIS PAGE BLANK (USPTO)

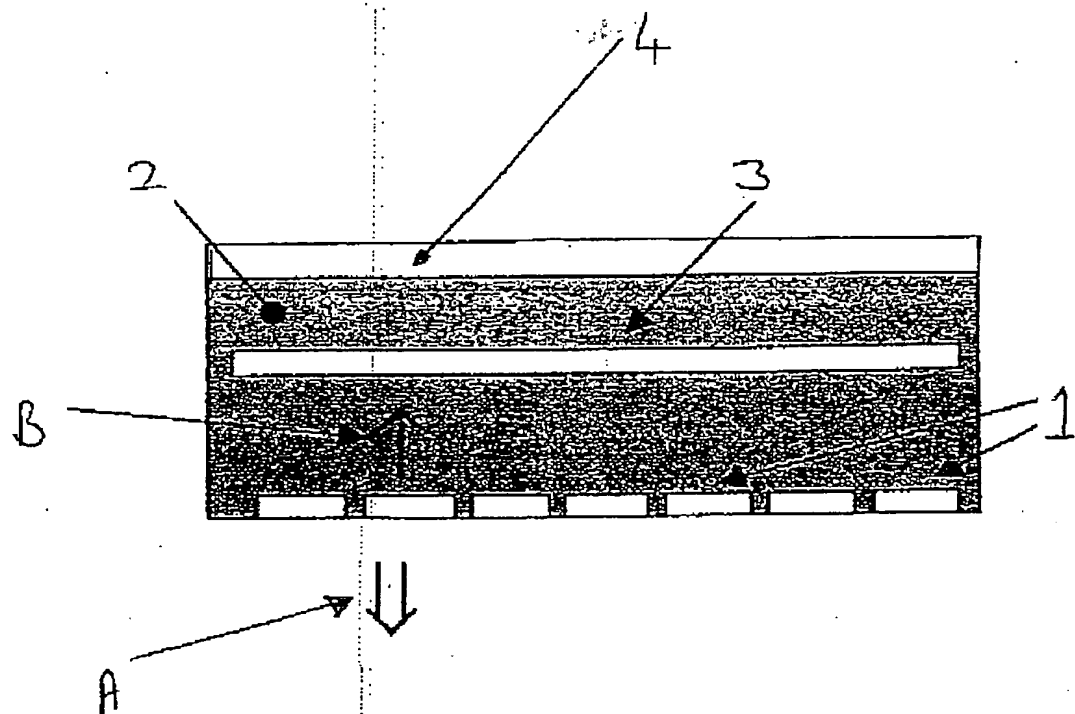


FIG. 2

THIS PAGE BLANK (USPTO)